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April 7, 2004

Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

Re:

U.S. Patent Application Serial No. 09/667569

For: Methods and Microorganisms for Production of Panto-Compounds

Inventors: Yocum, R. Rogers, et al.

Filed: September 21, 2000 Our Ref. No.: BGI-141CP

Dear Sir:

I enclose herewith for filing in the above-identified application the following:

- 1. Information Disclosure Statement;
- 2. PTO Form 1449;
- 3. Copies of references cited in PTO Form 1449 (3); and
- 4. A Return Postcard.

No additional costs are believed to be due in connection with the filing of this Information Disclosure Statement. However, please charge any necessary fees in connection with the enclosed statement to our Deposit Order Account No. 12-0080. For this purpose, a duplicate of this sheet is attached.

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April 7 2004

Date

Debra J. Milasincic, Esq., Reg. No. 46,931

Respectfully submitted,

LAHIVE & COCKFIELD, LLP

Debra J. Milasincic, Esq. Registration No. 46,931

Attorney for Applicants



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Yocum, R. Rogers, et al.

Serial No.: 09/667569

Filed: September 21, 2000

For: Methods and Microorganisms for Production of

Panto-Compounds

Attorney Docket No.: BGI-141CP

Group Art Unit: 1652

Examiner: Steadman, D.J.

Commissioner for Patents

P.O. Box 1450

Alexandria, Virginia 22313-1450

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April 7, 2004

Date of Signature and of Mail Deposit

Debra J. Milasincic, Esq.

Reg. No. 46,931

Attorney for Applicants

## SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Dear Sir:

Applicants and their Attorney are aware of the following additional publications, listed on the attached PTO Form SB-08, and in accordance with 37 CFR §1.97 hereby submit these publications for the Examiner's consideration. A copy of each cited publication is enclosed.

This statement is not to be interpreted as a representation that the cited publications are material, that an exhaustive search has been conducted, or that no other

04/14/2004 WABDELR1 00000091 120080 09667569

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Serial Number: 10/667569 Page -2- Group Art Unit: 1652

relevant information exists. Nor shall the citation of any publication herein be construed *per se* as a representation that such publication is prior art. Moreover, the Applicants understand that the Examiner will make an independent evaluation of the cited publications.

In accordance with 37 CFR §1.97(c)(2) and §1.17(p), please charge the \$180.00 submission fee to our Deposit Order Account No. 12-0080. Please charge any necessary additional fees or credit any overpayments to our Deposit Order Account No. 12-0080.

Respectfully submitted

LAHIVE & COCKFIELD, LLP

Debra J. Milasincic, Esq. Registration No. 46,931 Attorney for Applicants

28 State Street Boston, MA 02109 (617) 227-7400

Date: April 7, 2004

GAD/EAH/DJM/DLN/alf Enclosures

PTO/SB/08a/b (08-03)

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& THATTENT Complete if Known Substitute for form 1449A/B/PTO 09/667569 **Application Number** INFORMATION DISCLOSURE September 21, 2000 Filing Date STATEMENT BY APPLICANT Yocum, R. Rogers First Named Inventor 1652 Art Unit (Use as many sheets as necessary) Steadman, D.J. **Examiner Name** BGI-141CP Sheet 1 of Attorney Docket Number

	U.S. PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Document Number  Number-Kind Code <sup>2</sup> ( <i>if known</i> )	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear			
	D1	6,171,845 B1	01-09-2001	Elischweski <i>et al</i> .				
	D2	6,177,264 B1	01-23-2001	Eggeling et al.				
	D3	6,184,006 B1	02-06-2001	Rieping et al.				

FOREIGN PATENT DOCUMENTS										
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document  Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>®</sup>				
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